

# CM/ECF Next Generation

## Additional Stakeholders Functional Requirements Group (ASFRG)

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Case Management

**CM/ECF**

**Next Generation**

Electronic Case Files

# Next Generation Vision

- Better automation and integration of chambers and clerk's office operations;
- Provide all stakeholders with efficient, reliable, and secure electronic access to case information.

# ASFRG Team

- Court Family
- Department of Justice
- Internal Revenue Service
- American Bar Association
- Association of American Law Schools
- National Association of Chapter 13 Bankruptcy Trustees

## Additional Stakeholders

- Federal Agencies (DOJ, IRS, SSA)
- Lawyers
- Bankruptcy Trustees
- Academics
- Vendors

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# What We've Heard

- Thanks for asking!
- CM/ECF & PACER are Great!
- Please Don't Break It!
- But Since You Asked!

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# Major Themes

- **Standardization**
- **Single log-in and password**
- **Better Search**
- **More help**

# Specific Needs

- Data-enabled forms
- Batch Filing
- Eliminate or increase file size limits
- More Integrated order processing
- Customized Views (Dashboards)
- Integrated calendar

# Dashboard Concept



## My CM/ECF Attorney P. Mason

File

### Messages from the Clerk's Office

24 Hour Deficiency  
10-2345 Missing Signature  
CM/ECF will be down 2/1

### Deadlines

Schedule and Statements Due

10-1234 Jones

10-3456 Smith

10-9876 Thomas

Chapter 13 Plans Due

10-3456 Smith

Certificate of Credit

Counseling Due

10-1234 Jones

Financial Management

Certificate Due

10-9543 Edwards

### Fees Due

10-1234 Jones -

2nd Installment

### Upcoming Hearings

Feb 2

10-1234 Jones - Motion

10-3456 Smith - Confirmation

### Notices of Electronic Filing

10-1234 Jones - Motion for Relief From Stay

filed by Bank of America

10-3456 Smith - Objection to Confirmation filed by Trustee

10-9876 Thomas - Motion to Dismiss filed by Wells Fargo

10-7865 Mathis - Objection to Claim filed by Trustee

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0 Home ? Back Forward Bug

Search Smith X

Advanced Options Search All / Searching Court Calendar

Sunday, 22 August 2010

Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31	1	2	3	4
5	6	7	8	9	10	11

21 Event(s) 9/1/2010

09-50366-LMK : Edward Lee Smith 8:32 AM  
Dismiss Case, Motion to Killian  
Judge: Killian - More...

09-50781-LMK : Franklin Pierce Smith and Bennie Che 8:33 AM  
Dismiss Case, Motion to Killian  
Judge: Killian - More...

09-50781-LMK : Franklin Pierce Smith and Bennie Che 8:33 AM  
Chapter 13 Plan - Amended Killian  
Judge: Killian - More...

10-31390-LMK : Royce L. Smith

## ECF Central

# Court Calendar

- ✓ Quick & Advanced Searching
- ✓ Save Searches
- ✓ Party and Case Information
- ✓ Alerts to your inbox
- ✓ RSS Feed

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Advanced Options

FLNB ECF Central Lite 1.1 [Logout](#)

Order Options

CC Order To:

Receive Confirmation Email

Order Details

Case Number:  
 ##-####  
**James Michael Sawyer and Krisalynn Shirley Sawyer**  
 Relating To Document Number:

Order Regarding:

Order Documents:  
[Add Document](#)  
 myorder.txt[X]

Orders must be submitted in Word (.doc, .docx) or WordPerfect (.wpd) format. Exhibits in .pdf format may be submitted using the Add Document link.

Comments:

## Proposed Orders

- ✓ Verify Case Number
- ✓ Limit allowed file types
- ✓ Confirmation Emails
- ✓ CC other Parties
- ✓ Search past orders

# Making a Difference Today

- **Developing Pilot for Petition filing for Chapter 7 & 13 Pro Se Petitioners**
  - **“Pathfinder” for new architecture**
  - **Slated for implementation in 3 courts**
    - **California Central, New Jersey, New Mexico**

Will store both the petition package, forms and all the data in a structured manner for automatic transfer to CM/ECF.

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# Welcome to the E-file system - To automatically fill this form [click here](#)

Progress:

**Part 1** Part 2 Part 3 Part 4 Part 5 Part 6 Part 7

New Jersey  
Prototype for Pro  
Se Filing

## Part 1 - Debtor Information

### Debtor

What is your email address?	<input type="text"/>	
What is your first name?	<input type="text"/>	You must enter a valid email address in order to submit your petition.
What is your middle name?	<input type="text"/>	
What is your last name?	<input type="text"/>	
Have you used any other names in the last eight (8) years?	<input type="radio"/> Yes <input type="radio"/> No	
What is your Social Security number or Individual Taxpayer Identification Number?	<input type="text"/> - <input type="text"/> - <input type="text"/>	
Street Address 1	<input type="text"/>	
Street Address 2	<input type="text"/>	
Street Address City	<input type="text"/>	
Street Address State	Select a State	▼
What is your Zip Code?	<input type="text"/>	
What county do you live in?	Select a County	▼
What is your telephone number?	<input type="text"/>	
Is your mailing address different than your street address?	<input type="radio"/> Yes <input type="radio"/> No	
If you are married, is your spouse filing with you?	<input type="radio"/> Yes <input type="radio"/> No	

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Petition

Edit Information
Complete Form

1 / 50
57%

Sign
Find

Interpauldc5567

UNITED STATES BANKRUPTCY COURT District of New Jersey		VOLUNTARY PETITION
Name of Debtor (if individual, enter Last, First, Middle): <i>leslie, brian, paul</i>		Name of Joint Debtor (Spouse) (Last, First, Middle):
All Other Names used by the Debtor in the last 8 years (include married, maiden, and trade names):		All Other Names used by the Joint Debtor in the last 8 years (include married, maiden, and trade names):
Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN)/Complete EIN (if more than one, state all): 5567		Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN)/Complete EIN (if more than one, state all):
Street Address of Debtor (No. and Street, City, and State): 1 v st  raleigh NC ZIP CODE 27516		Street Address of Joint Debtor (No. and Street, City, and State):   ZIP CODE
County of Residence or of the Principal Place of Business: Warren		County of Residence or of the Principal Place of Business: Atlantic
Mailing Address of Debtor (if different from street address):  ZIP CODE		Mailing Address of Joint Debtor (if different from street address):  ZIP CODE
Location of Principal Assets of Business Debtor (if different from street address above):  ZIP CODE		
<b>Type of Debtor</b> (Form of Organization) (Check <b>one</b> box.) <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Individual (includes Joint Debtors) <i>See Exhibit D on page 2 of this form.</i></li> <li><input type="checkbox"/> Corporation (includes LLC and LLP)</li> <li><input type="checkbox"/> Partnership</li> <li><input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)</li> </ul>	<b>Nature of Business</b> (Check <b>one</b> box.) <ul style="list-style-type: none"> <li><input type="checkbox"/> Health Care Business</li> <li><input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101(51E)</li> <li><input type="checkbox"/> Railroad</li> <li><input type="checkbox"/> Stockbroker</li> <li><input type="checkbox"/> Commodity Broker</li> <li><input type="checkbox"/> Clearing Bank</li> <li><input type="checkbox"/> Other</li> </ul>	<b>Chapter of Bankruptcy Code Under Which the Petition is Filed</b> (Check <b>one</b> box.) <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Chapter 7</li> <li><input type="checkbox"/> Chapter 9</li> <li><input type="checkbox"/> Chapter 11</li> <li><input type="checkbox"/> Chapter 12</li> <li><input type="checkbox"/> Chapter 13</li> <li><input type="checkbox"/> Chapter 15 Petition for Recognition of a Foreign Main Proceeding</li> <li><input type="checkbox"/> Chapter 15 Petition for Recognition of a Foreign Nonmain Proceeding</li> </ul>
<b>Tax-Exempt Entity</b> (Check box, if applicable.) <ul style="list-style-type: none"> <li><input type="checkbox"/> Debtor is a tax-exempt organization under Table 26 of the United States Code (the Internal Revenue Code).</li> </ul>	<b>Nature of Debts</b> (Check <b>one</b> box.) <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Debts are primarily consumer debts, defined in 11 U.S.C. § 101(8) as "incurred by an individual primarily for a personal, family, or household purpose."</li> <li><input type="checkbox"/> Debts are primarily business debts.</li> </ul>	
<b>Filing Fee</b> (Check <b>one</b> box.) <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Full Filing Fee attached.</li> <li><input type="checkbox"/> Filing Fee to be paid in installments (applicable to individuals only). Must attach signed application for the court's consideration certifying that the debtor is unable to pay fee except in installments. Rule 1006(b). See Official Form 3A.</li> <li><input type="checkbox"/> Filing Fee waiver requested (applicable to chapter 7 individuals only). Must attach signed application for the court's consideration. See Official Form 3B.</li> </ul>	<b>Chapter 11 Debtors</b> <ul style="list-style-type: none"> <li><b>Check one box:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D).</li> <li><input type="checkbox"/> Debtor is not a small business debtor as defined in 11 U.S.C. § 101(51D).</li> </ul> </li> <li><b>Check if:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,343,500 (amount subject to adjustment on 4/01/13 and every three years thereafter).</li> </ul> </li> </ul>	



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## Making a Difference Today

- Resolved integration issue between SSA and CM/ECF for eCars implementation
- Implementation of new Mediator Forms
- North Carolina synchronizing logins across districts
- Improved integration with IRS claims

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# Digital Audio Available in Nine Bankruptcy Courts

Filing Date	#	Docket Text
11/18/2010	<a href="#">29</a>	 PDF with attached Audio File. Court Date & Time [ 11/17/2010 9:51:55 AM ]. File Size [ 560 KB ]. Run Time [ 00:02:20 ]. (admin). (Entered: 11/18/2010)

- Alabama Northern
- Florida Middle
- Nebraska
- Maine
- New York Southern
- North Carolina Middle
- North Carolina Eastern
- Rhode Island
- Washington Eastern

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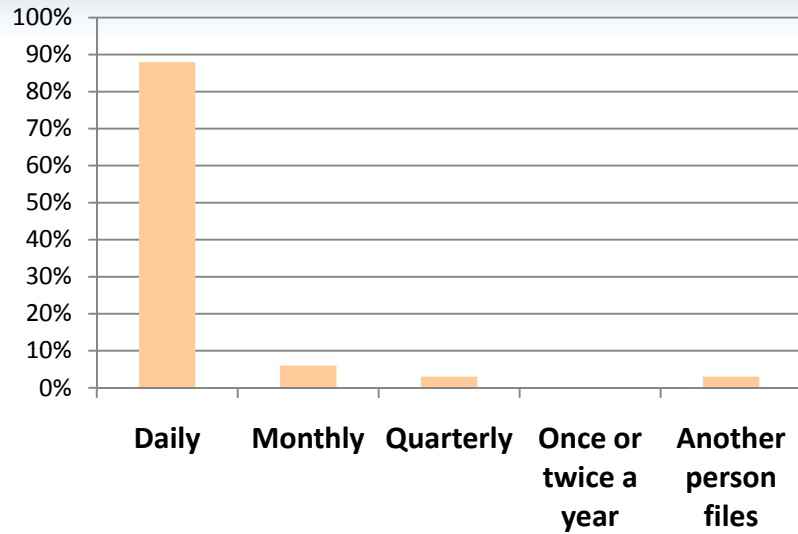
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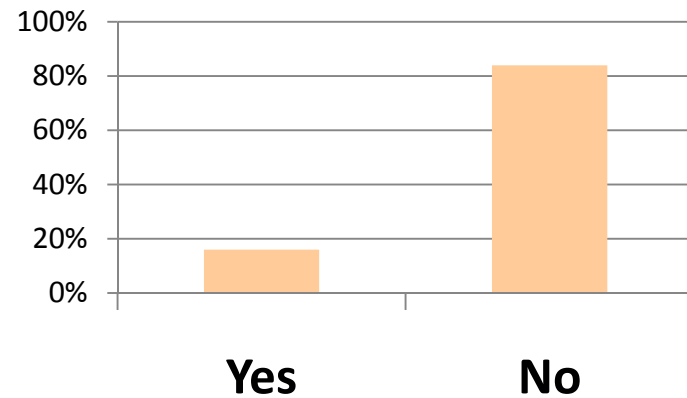
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# Trustee Survey Results

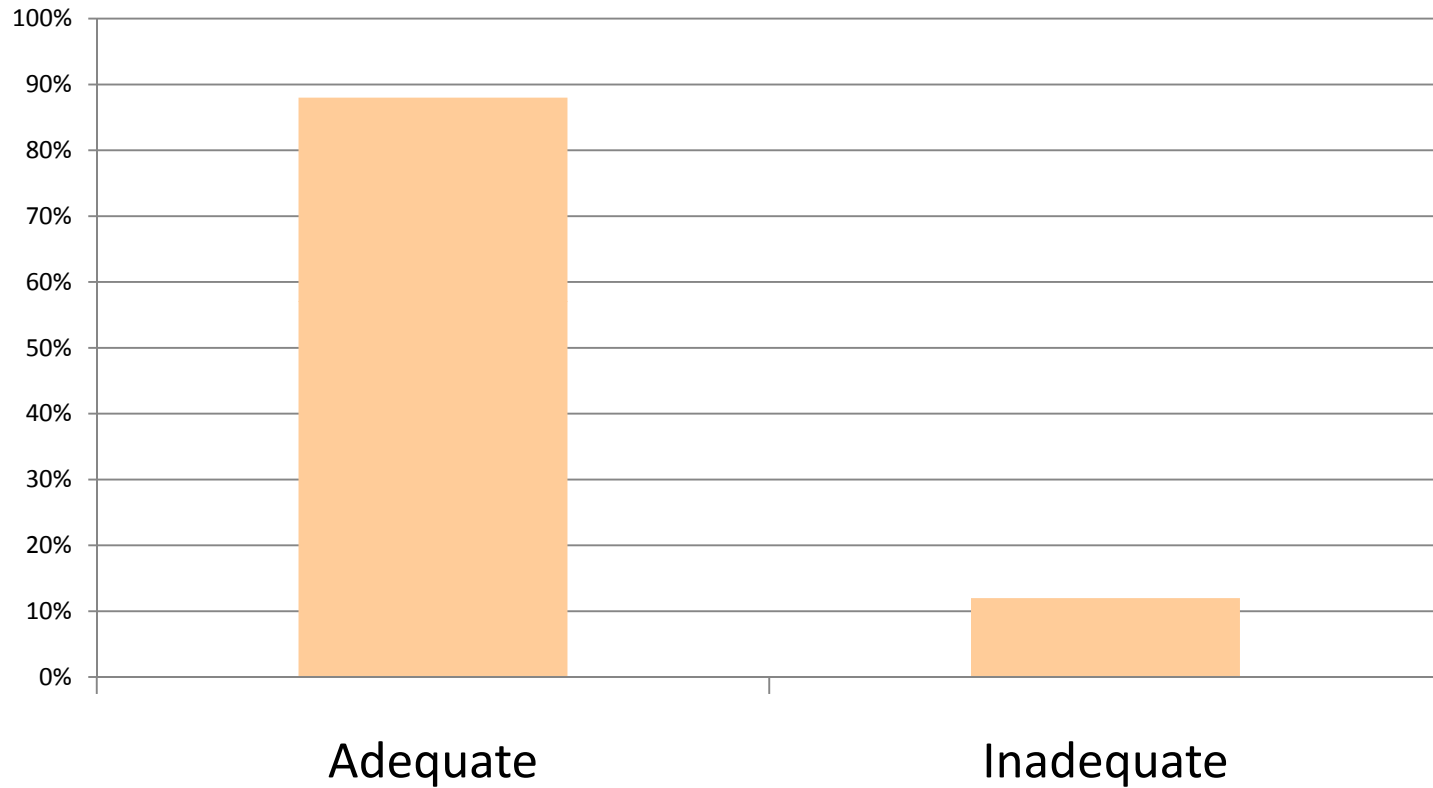
## USAGE



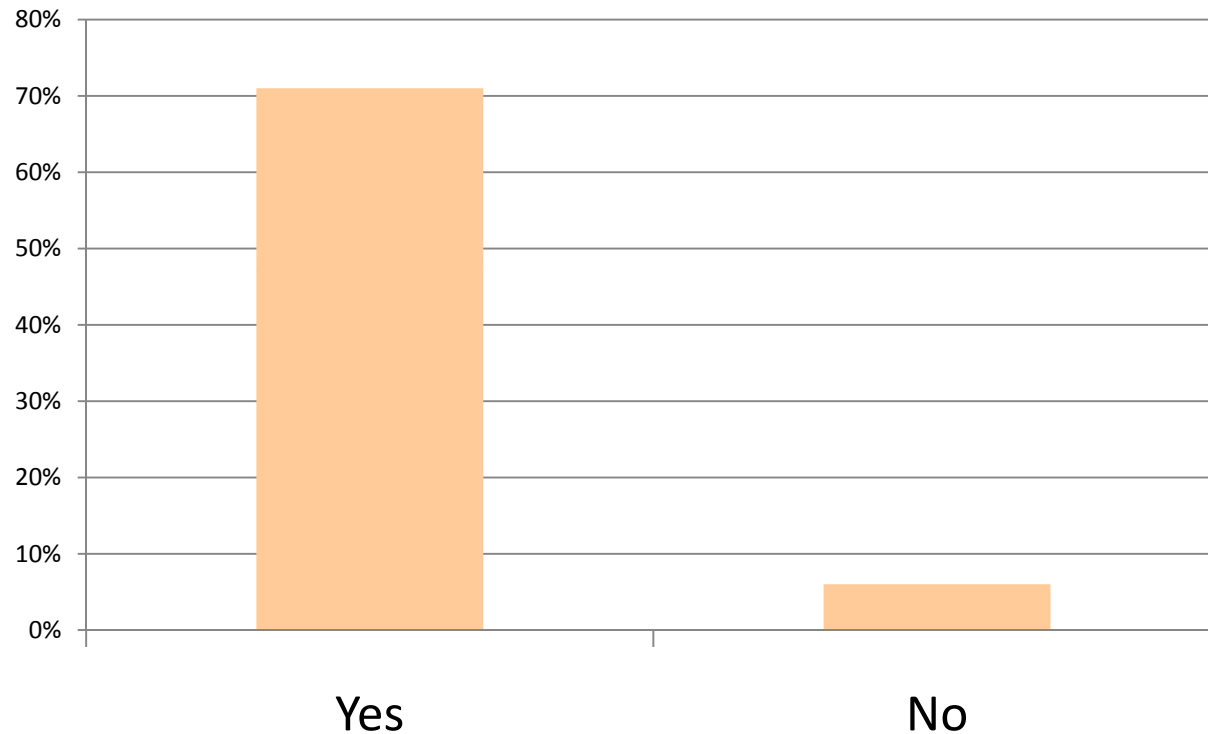
## DO YOU FILE IN MULTIPLE COURTS?



# NOTICING E-MAILS



# Would Customized Reports Help?



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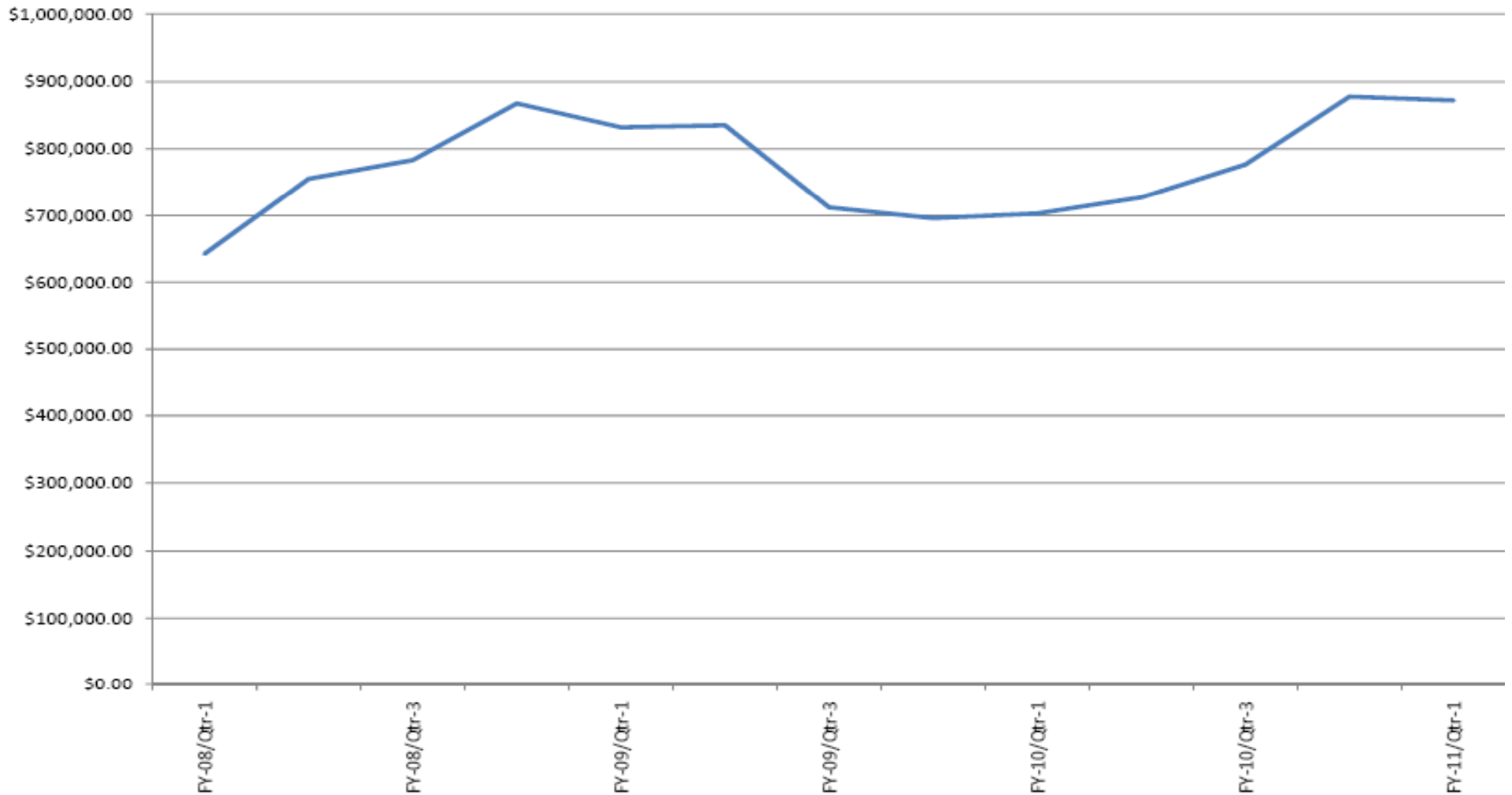
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## Chapter 13 Trustee PACER Use (Top 25)



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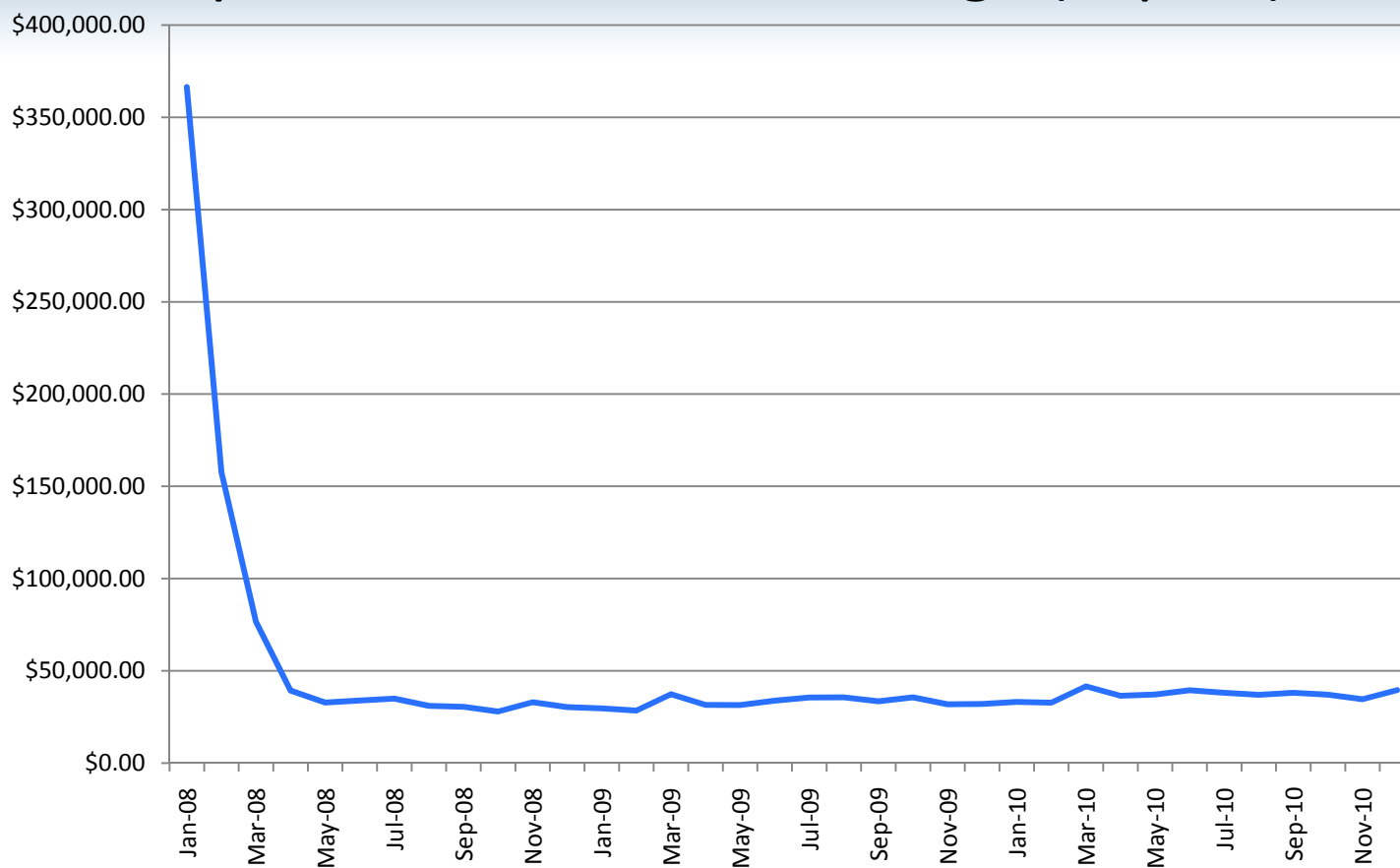
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## Chapter 7 Trustee PACER Usage (Top 25)



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# Comments from Trustees

Would be nice to be able to get the Trustee's 341 schedule from CM/ECF, too.

I would like some brief explanations regarding seldom-used docket entries.

I'd like to be able to move around without so many clicks.

Voluntary Petition, schedules, proof of claim etc. should be electronic forms that can be completed directly in the CM/ECF system.

Favorites - we have to go through all listings to find one line that we do every day.

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## Bankruptcy Chambers and Clerks

### ■ Created and Synced 300 “Business Process Templates”

#### Issue 1842 - Creditor Matrix Upload

Current/As-Is: Attorney uploads the creditors to CM/ECF with a text file. Then the file is converted to PDF and docketed along with a cover sheet, which is outdated and contains information like the number of pages.

Goal/To-Be: Attorneys submit one file and the system uploads the creditors, creates the PDF and cover sheet for the docket.

Capability: The system should provide a one step process for uploading and docketing of the list of creditors.

After the matrix is uploaded as the structured data file necessary for service by the BNC, the System shall convert the structured data file to PDF (See Ability within CM/ECF to convert files to PDF Issue #1822)(Court configurable).

# Standardization Comments

I wholeheartedly agree that a standardized set of options to characterize filings (and/or a liberal ability to fill in blank options) should be a priority goal as CM/ECF evolves.  
*FTC user*

Consistency, I can't express the need for all the courts to have the same format if possible especially attorneys that use multiple courts. Filing complaints still varies district-by-district and requires a number of odd procedures (like putting in a dummy case number) that should be fixed in the next generation.  
*District user*

Standardize the process across districts. In the SDCA I can file an order as a PDF but in the CDCA I have to upload a WORD document ... it is crazy.  
*Bankruptcy user*

The most troublesome problem is the lack of uniformity among Courts. Events have various names, and hence are often buried in different lists. A uniform list of events is badly needed...  
*Bankruptcy user*

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# Feedback Loop

([www.nceb.uscourts.gov/asfrg](http://www.nceb.uscourts.gov/asfrg))

July 26, 2010

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Additional Stakeholders Functional Requirements Group

[About The CM/ECF Next Generation Project](#)  
[About The Additional Stakeholders Functional Requirements Group](#)

[Presentation](#)

Help Design the Next Generation of CM/ECF

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[Short](#)

**For More Information**  
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# Next Steps

- **Sync requirements across Clerks, Chambers and Additional Stakeholders**
- **Complete Requirements Gathering: February 2012**